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4-Indolylbutanals from rhodium-catalyzed hydroformylation of allylindoles as precursors of benzofused indolizines

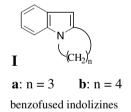
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Abstract—New 4-indolylbutanals have been prepared via a rhodium-catalyzed hydroformylation of differently substituted *N*-allylindoles. The aldehydes bearing an electron-donating group on the indole nucleus 3-position can evolve into benzofused indolizines via a one pot intramolecular cyclodehydration. © 2007 Elsevier Ltd. All rights reserved.

Benzofused indolizines are present in an astonishing variety of biologically active compounds. In particular, structure I has attracted much attention as an analogue of the potent antitumor antibiotics mitomycins.¹



Amongst the synthetic methods for the construction of ring system **Ib**, intramolecular radical cyclization,² classical intramolecular nucleophilic substitution,³ the Dieckmann ring expansion approach⁴ and the recent 1,2-alkyl migration process in indolylborate have been reported.⁵ For our part we considered hydroformylation-based pathways, because the succession of a carbonylation and a cyclization step could afford these types of frameworks. During the last few years, the hydroformylation of unsaturated compounds has become a more versatile tool in the synthesis of fine chemicals.⁶ As far as indole chemistry is concerned, the hydroformylation reaction has been used in the indole ring building,⁷

Keywords: Hydroformylation; Rhodium-catalyst; Indolylbutanals; Synthesis; Intramolecular cyclodehydration; Benzofused indolizines.

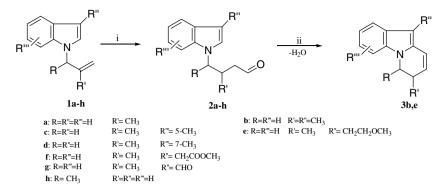
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and indole-based phosphine ligands have been tested in the hydroformylation of simple alkenes.⁸ Moreover, very few examples are available regarding the application of the oxo process to indolyl olefins. In particular only the cobalt-catalyzed hydroformylation of *N*-vinylindoles, which forms the branched isomer as the major product,⁹ and 3-allylindole have been reported:¹⁰ in the latter case no isolable and identifiable products were obtained.

Our previous studies on the rhodium-catalyzed hydroformylation of *N*-allylpyrroles¹¹ demonstrated that *oxo* products 4-(pyrrol-1-yl)butanals spontaneously cyclize to indolizine derivatives. In this Letter we report that the analogous 4-(indol-1-yl)butanals can be obtained via hydroformylation of variously substituted *N*-allylindoles. These aldehydes can either be isolated and characterized or, interestingly, undergo an in situ intramolecular cyclization on the indole 2-position to benzofused dihydroindolizines: the crucial requirement is the presence of an electron-donor group on the indole 3-position (Scheme 1).

N-Allylindoles **1a–h** were prepared via N-allylation of the proper indoles (yields $\ge 65\%$). This step was accomplished in diethyl ether via a phase-transfer process in which 18-crown-6 was employed as the transfer agent and potassium *tert*-butoxide as the base.¹² For the preparation of **1a–d,g,h**, the starting indoles were commercially available. For the synthesis of **1f**, the functionalized indole was obtained via esterification of the commercial 3-indolyl acetic acid.¹³ In contrast, **1e** was



Scheme 1. Reagents and conditions: (i) $Rh_4(CO)_{12}$, CO/H_2 1:1, 100 atm, 100 °C, benzene, 0.5–3.5 h; (ii) the same conditions as (i), 40 h under CO atmosphere, after CO/H_2 gas mixture removal.

obtained from **1f** via reduction with LiAlH₄ in THF and successive methylation of the resulting alcohol with CH₃I in KOH/DMSO.^{14a} Compounds **1g** and **1h** are known.^{14b,c}

Hydroformylation experiments on 3-(indol-1-yl)alk-1enes **1a–h** were carried out in benzene with $Rh_4(CO)_{12}$ as the catalyst precursor,^{15,16} according to a typical procedure.¹⁷

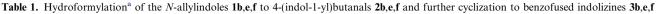
As far as vinylidenic olefins are concerned, the simple N- β -methallylindole **1a** forms the linear aldehyde 4-(indol-1-yl)butanal 2a as the sole product after 3 h. A similar behavior was observed when a methyl group was present on the indole 3-position (vinylidenic olefins 1b), the linear aldehyde 2b being exclusively formed (Table 1). No significant differences were found when the methyl group was on the indole 5- and 7-positions, respectively (vinylidenic olefins 1c and d): in fact the corresponding aldehydes 2c and d were obtained as exclusive products. The chemo-selectivity of the reaction was also independent of the nature of the substituent on the indole 3-position: in fact with olefins 1e and f bearing an electron-donor group $(R'' = CH_2CH_2OCH_3 \text{ or})$ CH_2COOCH_3) (Table 1) as well as in the case of olefin 1g characterized by an electron-withdrawing group $(\mathbf{R}'' = \mathbf{CHO})$ 4-indolylbutanals 2e-g were chemo-selectively formed (Table 1).

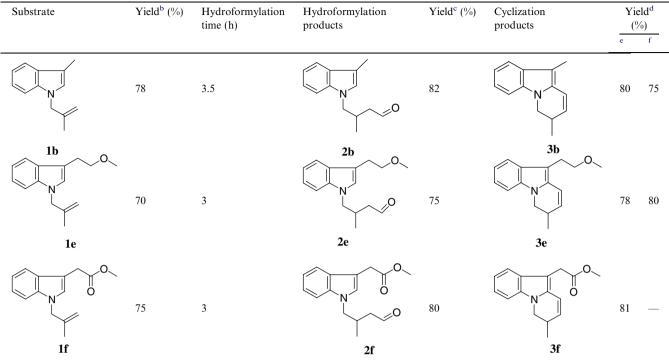
The hydroformylation of vinyl olefin **1h** under the same experimental conditions was faster, the conversion being complete after 0.5–1 h. However, in this case the linear aldehyde 4-(indol-1-yl)pentanal **2h** was not the sole product,¹⁸ the branched 2-methyl-3-(indol-1-yl)butanal **2'h** being formed in a **2'/2** molar ratio = 40/60. In the light of the above findings we can affirm that as far as the regio- and the chemo-selectivity is concerned vinyl-idenic and vinyl indolyl olefins investigated behave similarly.¹⁹

When the CO/H₂ mixture was removed from the crude reaction mixture containing **2b** and the reactor was pressurized with CO only, a decrease of **2b** was observed with a simultaneous formation of the benzofused dihydroindolizine **3b** (Table 1). After 40 h, **3b** was the sole product present in the crude reaction mixture. Under the

same experimental conditions, olefin **1e** containing the methoxyethyl group on the indole 3-position, gave the corresponding dihydroindolizine 3e (Table 1). In contrast, cyclodehydration of aldehyde 2f does not occur. Neither does aldehyde 2a undergo this transformation and the result is the same independent of the position of the methyl group on the alkyl chain bonded to the nitrogen atom: indeed aldehyde 2h also remains intact. Olefins 1c and d behave in the same manner: the corresponding aldehydes 2c and d were recovered unchanged after heating for a long time. Aldehyde 2g also does not cyclize. The above data suggest that the presence of a group activating at least as much as methyl should be present on the indole 3-position in order to promote indolizine formation; in this way the less nucleophilic indole 2-position becomes capable of reacting with the aldehyde carbonyl carbon atom to give a new six-membered ring. Subsequent dehydration gives the highly conjugated benzofused dihydroindolizine system. The cyclization into 2f was induced by adding a catalytic amount (5%) of pyridinium para-toluensulfonate into the diluted (1:3 with benzene) reaction mixture and heating for 2 h (Table 1). The resulting vellow/orange suspension was filtered and the filtrate evaporated under reduced pressure giving the benzofused indolizine 3f in high yield (Table 1). Analogously, pyridinium para-toluensulfonate promotes the cyclodehydration of 2b to 3b and 2e to 3e in a very short time (Table 1). A similar cyclization attempt on the other aldehydes 2a,c,d,h was unsuccessful: it was not possible to recover any starting material nor isolate any product. Also in the case of 2g the cyclization does not occur. Interestingly, in spite of the darkening of the solution, most of the starting aldehyde was recovered by simple filtration on celite. Thus the presence of an electron-withdrawing group on the indole 3-position is crucial for the survival of indolylbutanals under the mild acidic conditions; otherwise intermolecular reactions take place probably on the more favored β -position of the indolyl units.²⁰

4-Indolylbutanals **2a–h** and the benzofused 5,6-dihydroindolizines **3b,e,f** are new compounds, and they have been characterized by ¹H and ¹³C NMR spectroscopy and GC–MS.^{21,22} These derivatives are stable enough to be handled at room temperature without decomposition and they can be stored at 0 °C for several weeks.





^a Rh₄(CO)₁₂ (100/1 = substrate/Rh), 100 atm total pressure (CO/H₂ = 1/1), 100 °C, benzene as the solvent.

^b Yield of pure isolated product (Al₂O₃; hexane).

^c Yield of pure isolated product (celite).

^d Yield of pure isolated product (Al₂O₃/hexane for **3b**; Al₂O₃/CH₂Cl₂/hexane (1:1) for **3e**; Al₂O₃/CH₂Cl₂/hexane = 1/1 for **3f**).

^e Pyridinium *para*-toluensulfonate (5%) catalyzed, 2h, benzene at reflux.

^f Rhodium-catalyzed, 40 h under N₂ atmosphere, after CO/H₂ gas mixture removal.

To sum up, the synthesis of new indolylbutanals has been described based on the rhodium-catalyzed hydroformylation of readily available *N*-allylindoles. These compounds can undergo an in situ intramolecular cyclodehydration giving benzofused indolizines in good yield: the required condition is the presence of an electrondonor group on the indole 3-position making the 2-position electron-rich enough to undergo the electrophilic attack of the aldehyde carbonyl carbon atom. Due to the pharmacological importance of mytomicins,¹ we believe that the construction of mitomycin-like compounds hydropyrido[1,2-*a*]indoles via annulation of 4-indolylbutanals reported here can be a useful addition to the indole chemistry.

Acknowledgement

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References and notes

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- 14. (a) Compound **1a**: ¹H NMR (CDCl₃) δ 7.63 (m, 1H, H_{ind}), 7.38–7.06 (m, 4H, H_{ind}), 6.51 (d, J = 1.4 Hz, 1H, H_{ind}), 4.89 (br s, 1H, CH=), 4.71 (br s, 1H, CH=), 4.63 (s, 2H, CH₂–N), 2.04 (s, 3H, CH₃–C=). ¹³C NMR (CDCl₃) δ 20.2, 52.8, 101.6, 109.9, 112.9, 119.6, 121.1, 121.7, 128.5, 128.9, 136.6, 141.4. MS m/e 171 (M⁺, 100), 156 (85), 130 (53), 89 (14), 77 (14), 39 (16). Compound **1b**: ¹H NMR (CDCl₃) & 7.64 (m, 1H, H_{ind}), 7.36–7.13 (m, 3H, H_{ind}), 6.91 (br s, 1H, H_{ind}), 4.94 (br s, 1H, CH=), 4.79 (br s, 1H, CH=), 4.63 (br s, 2H, CH₂–N), 2.40 (d, J = 1.2 Hz, 3H, CH_{3-ind}), 1.73 (br s, 3H, CH₃–C=). ¹³C NMR (CDCl₃) δ 9.9, 20.2, 52.6, 109.7, 110.7, 112.6, 118.9, 119.2, 121.7, 126.1, 129.1, 135.9, 141.8. MS m/e 185 (M⁺, 100), 170 (94), 144 (48), 130 (30), 115 (10), 103 (17), 77 (25), 51 (13), 44 (12), 40 (40). Compound 1c: ¹H NMR (CDCl₃) δ 7.56 (br s, 1H, H_{ind}), 7.31 (br s, 1H, H_{ind}), 7.18-7.14 (m, 2H, H_{ind}), 6.56 (d, J = 2.6 Hz, 1H, H_{ind}), 5.02 (br s, 1H, CH=), 4.83 (br s, 1H, CH=), 4.71 (s, 2H, CH₂–N), 2.59 (s, 3H, CH_{3-ind}), 1.78 (s, 3H, CH₃–C=). ¹³C NMR (CDCl₃) δ 20.1, 21.7, 52.9, 101.1, 109.7, 112.8, 120.9, 123.4, 128.6, 128.8, 129.2, 135.1, 141.6. MS m/e 185 (M⁺, 100), 170 (75), 144 (30). Compound 1d: ¹H NMR (CDCl₃) δ 7.53 (m, 1H, H_{ind}), 7.08–6.94 (m, 3H, H_{ind}), 6.55 (d, J = 3.4 Hz, 1H, H_{ind}), 4.90–4.81 (m, 3H, CH=, CH₂–N), 4.31 (s, 1H, CH=), 2.70 (br s, 3H, CH_{3-ind}), 1.82 (br s, 3H, CH₃-C=). ¹³C NMR (CDCl₃) δ 19.5, 20.2, 54.6, 101.8, 111.2, 119.3, 119.9, 120.3, 124.7, 129.8, 130.3, 135.3, 143.5. MS m/e 185 $(M^+, 100), 170(80), 144(42), 130(15), 103(13), 77(16), 39$ (15). Compound 1e: ¹H NMR (CDCl₃) δ 7.62 (br s, 1H, Hind), 7.22-7.07 (m, 4H, Hind), 6.60 (s, 1H, Hind), 4.63 (br s, 1H, CH=), 4.54 (br s, 1H, CH=), 4.02 (br s, 2H, CH₂-N), 3.55 (t, 2H, J = 7.0 Hz, CH₂–O), 3.15 (s, 3H, OCH₃), 3.05 (t, 2H, J = 7.0 Hz, CH₂-C), 1.30 (s, 3H, CH₃-C=). ¹³C NMR (CDCl₃) δ 141.5, 141.3, 137.0, 126.2, 124.5, 121.7, 119.4, 119.2, 112.2, 109.8, 73.3, 58.1, 51.9, 26.0, 19.4. MS m/e 189 (M⁺-40, 42), 144 (100). Compound 1f: ¹H NMR (CDCl₃) δ 7.64 (m, 1H, H_{ind}), 7.34–7.10 (m, 4H, H_{ind}), 4.93 (br s, 1H, CH=), 4.76 (br s, 1H, CH=), 4.63 (s, 2H, CH2-CO), 3.81 (s, 2H, CH2-N), 3.73 (s, 3H, OCH3), 1.70 (s, 3H, CH₃-C=). ¹³C NMR (CDCl₃) δ 19.9, 31.2, 52.1, 52.5, 107.3, 109.8, 112.8, 119.1, 119.4, 121.9, 127.3, 136.7, 141.2, 142.1, 172.7. MS m/e 243 (M⁺, 39), 184 (100), 168 (9), 142 (10), 55 (14); (b) Thalji, R. K.; Ahrendt, K. A.; Bergman, R. G.; Ellman, J. A. J. Org. Chem. 2005, 70, 6775-6781; (c) Yi, C. S.; Yun, S. Y. Org. Lett. 2005, 7, 2181-2183.
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- 17. Hydroformylation: General procedure. To a solution of 5– 6 ml of the olefinic substrate in benzene, $Rh_4(CO)_{12}$ in the proper molar ratio is introduced by suction into an evacuated 25 ml stainless steel autoclave, which is then filled with carbon monoxide up to the desired pressure (45 atm) and heated up to 100 °C. After 15 min, hydrogen (H₂) is introduced giving the desired final pressure (CO/ H₂ = 1/1). The autoclave is then rocked to the proper conversion (GC and/or ¹H NMR control) and allowed to cool down to room temperature. The residual reaction gases are evacuated and the crude reaction mixture is

directly taken out of the open autoclave for a further manipulation.

- Typical MS data for 2h: MS m/e 201 (M⁺, 78), 186 (12), 173 (12), 158 (12), 144 (100), 130 (11), 117 (25), 89 (20).
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- 20. Eberle, M. K. J. Org. Chem. 1976, 41, 633-636.
- 21. Compound **2a**: ¹H NMR (CDCl₃) δ 9.64 (s, 1H, CHO), 7.71–7.08 (m, 4H, H_{ind}), 6.56 (d, J = 3.2 Hz, 1H, H_{α -ind}), 4.03 (t, J = 7.6 Hz, 2H, CH₂–N), 2.69 (m, 1H, CH–CH₃), 2.36 (t, J = 6.0 Hz, 2H, CH₂-CHO), 1.02 (d, J = 6.8 Hz, 3H, CH_3 -CH). ¹³C NMR (CDCl₃) δ 18.3, 29.7, 30.0, 48.5, 52.1, 101.8, 109.8, 119.8, 121.4, 122.0, 128.5, 128.7, 137.0, 201.3. MS m/e 201 (M⁺, 64), 183 (75), 173 (25), 168 (41), 130 (100), 103 (12), 77 (14), 39 (11). Compound **2b**: ¹H NMR (CDCl₃) δ 9.63 (s, 1H, CHO), 7.60–7.08 (m, 4H, H_{ind}), 6.83 (s, 1H, H α -ind), 3.94 (dd, J = 3.4, 7.4 Hz, 2H, CH₂-N), 2.65 (m, 1H, CH-CH₃), 2.37-2.29 (m, 5H, CH₂-CHO, CH₃-ind), 0.99 (d, J = 6.8 Hz, 3H, CH₃-CH). ¹³C NMR (CDCl₃) δ 10.1, 18.4, 29.8, 48.5, 51.8, 109.8, 110.8, 119.2, 119.5, 122.0, 126.3, 129.2, 137.0, 201.8. MS m/e 215 $(M^+, 15), 197 (100), 182 (78), 167 (33), 144 (17).$ Compound 2c: ¹H NMR ($CDCl_3$) δ 9.65 (br s, 1H, CHO), 7.65 (d, J = 7.4 Hz, 1H, H_{ind}), 7.26–7.07 (m, H, H_{ind}), 6.83 (s, 1H, $H_{\alpha-ind}$), 3.94 (dd, J = 3.4, 7.4 Hz, 2H, CH₂–N), 2.65 (m, 1H, CH–CH₃), 2.37–2.29 (m, 5H, CH₂– CHO, CH₃-ind), 0.99 (d, J = 6.8 Hz, 3H, CH₃-CH). ¹³C NMR (CDCl₃) δ 18.4, 21.9, 29.8, 48.6, 52.2, 101.3, 109.7, 121.1, 123.7, 128.7, 129.0, 129.3, 135.0, 201.7. MS m/e 215 $(M^+, 45), 197 (100), 182 (70), 167 (22), 144 (50), 39 (9).$ Compound 2d: ¹H NMR (CDCl₃) δ 9.63 (d, J = 1.2 Hz, 1H, CHO), 7.65 (d, J = 7.4 Hz, 1H, H_{ind}), 7.26–7.07 (m, 3H, H_{ind}), 6.64 (d, J = 3.2 Hz, 1H, $H_{\alpha-ind}$), 4.25 (q, J = 4.0 Hz, 2H, CH₂-N), 2.65 (br s, 4H, CH-CH₃, CH_{3-ind}), 2.38 (dd, J = 1.6, 5.2 Hz, 1H, CH–CHO), 2.34 (dd, J = 2.2, 8.6 Hz, 1H, CH'-CHO), 1.07 (d, J = 6.6 Hz, 3H. CH₃-CH). ¹³C NMR (CDCl₃) δ 17.9, 20.5, 31.9, 48.3, 54.4, 102.1, 119.7, 120.2, 121.0 125.3, 130.2, 130.5, 135.0, 201.5. MS *m/e* 215 (M⁺, 60), 197 (100), 182 (73), 167 (25), 144 (62), 77 (12), 39 (13). Compound 2e: ¹H NMR (C₆D₆) δ 8.97 (br s, 1H, CHO), 7.25–7.10 (m, 4H, H_{ind}), 6.6 (s, 1H, $H_{\alpha-ind}$), 3.60 (t, 2H, J = 7.0 Hz, CH_2-O), 3.40–3.12 (m, 5H, $CH_2-N + OCH_3$), 3.08 (t, 2H, J = 7.0 Hz, CH_2- C), 2.12 (m, 1H, CH-), 1.65-1.41 (m, 2H, CH₂-CHO), 0.46 (d, 3H, J = 7.0 Hz, CH₃). ¹³C NMR (C₆D₆) δ 18.2, 25.2, 29.5, 46.7, 52.1, 58.4, 72.9, 109.1, 112.2, 118.9, 119.4, 119.7, 126.2, 131.5, 137.0, 200.5. Compound 2f: ¹H NMR $(C_6D_6) \delta$ 8.96 (s, 1H, CHO), 7.72 (d, J = 6.0 Hz, 1H, H_{ind}), 7.27–6.99 (m, 3H, H_{ind}), 6.72 (s, 1H, $H_{\alpha-ind}$), 3.65 (s, 2H, CH₂-CO), 3.31, (s, 3H, CH₃O), 3.26 (m, 2H, CH₂-N), 2.11 (m, 2H, $\dot{C}H$ – $\dot{C}H_3$), 1.52 (m, 2H, $\dot{C}H_2$ – $\dot{C}HO$), 0.48 (d, J = 7.0 Hz, 3H, CH_3 –CH). ¹³C NMR (C₆D₆) δ 17.5, 29.0, 31.0, 47.7, 51.1, 51.2, 109.8, 111.2, 119.5, 119.6, 122.1, 127.1, 131.1, 136.9, 171.8, 199.5. MS m/e 273 (M⁺, 84), 245 (9), 214 (79), 202 (100), 186 (49), 170 (30), 143 (37), 130 (72), 115 (19), 85 (17). Compound 2g: ¹H NMR (C₆D₆) δ 9.98 (s, 1H, CHO), 9.03 (s, 1H, CHO), 7.22–7.10 (m, 4H, H_{ind}), 6.81 (s, 1H, H_{α -ind}), 3.4 (dd, J = 6.4, 14.0 Hz, 1H, CH–N), 3.03 (dd, J = 8, 14.2 Hz, 1H, CH'-N), 2.05 (m, 1H, CH–CH₃), 1.51 (dq, J = 1.2, 3.2 Hz, 2H, CH_2 -CHO), 0.39 (d, J = 6.6 Hz, 3H, CH_3 -CH). ¹³C NMR (C₆D₆) δ 199.6, 184.2, 138.9, 137.6, 125.7, 124.1, 123.1, 122.4, 118.5, 110.6, 51.7, 47.4, 28.4, 17.3.
- 22. Compound **3b**: As a colorless oil. ¹H NMR (CDCl₃) δ 7.58 (d, J = 7.8 Hz, 1H, H_{ind}), 7.23–7.11 (m, 3H, H_{ind}), 6.42 (dd, J = 2.2, 10.0 Hz, 1H, CH=), 5.88 (dd, J = 3.8,

10.0 Hz, 1H, CH=), 4.20 (dd, J = 6.2, 11.8 Hz, 1H, CH– N) 3.63 (dd, J = 8.4, 11.8 Hz, 1H, CH'–N), 2.89 (br s, 1H, CH–CH₃), 2.37 (s, 3H, CH₃-ind), 1.26 (d, J = 7 Hz, 3H, CH₃–CH). ¹³C NMR (CDCl₃) δ 8.5, 18.9, 30.3, 47.2, 107.7, 108.7, 117.6, 119.2 (2C), 122.3, 129.6, 130.0, 131.7, 137.1. MS *m/e* 197 (M⁺, 100), 182 (81), 167 (33), 40 (15). Compound **3e**: As a yellow oil. ¹H NMR (C₆D₆) δ 7.63 (d, 1H, J = 7.0 Hz, H_{ind}), 7.30–7.04 (m, 3H, H_{ind}), 6.52 (dd, J = 2.1; 11 Hz, 1H, CH=), 5.48 (dd, J = 3.6; 11 Hz, 1H, CH=), 3.63–3.46 (m, 4H, CH₂–N, CH₂–O), 3.16–2.92 (m, 5H, CH₂–C, OCH₃), 2.3 (m, 1H, CH–CH₃), 0.75 (d, J = 7.0 Hz, 3H, CH₃–CH). ¹³C NMR (C₆D₆) δ 18.1, 25.0 29.8, 46.6, 58.2, 73.4, 108.7, 109.3, 117.7, 119.3, 119.4, 122.2, 129.3, 129.5, 132.0, 137.3. MS *m/e* 241 (M⁺, 54), 196 (100), 180 (12). Compound **3f**: As a yellow oil. ¹H NMR (CDCl₃) δ 7.79 (d, J = 7.0 Hz, 1H, H_{ind}), 7.28–7.04 (m, 3H, H_{ind}), 6.55 (dd, J = 2.2, 10.0 Hz, 1H, CH=), 5.49 (dd, J = 3.8, 10.0 Hz, 1H, CH=), 3.63 (s, 2H, CH₂–CO), 3.51 (dd, J = 6.4, 11.8 Hz, 1H, CH–N), 3.22 (s, 3H, CH₃O), 2.94 (dd, J = 9.2, 11.8 Hz, 1H, CH–N), 2.21 (br s, 1H, CH–CH₃), 0.69 (d, J = 7.4 Hz, 3H, CH₃–CH). ¹³C NMR (CDCl₃) δ 18.0, 29.7, 30.1, 46.4, 51.2, 65.7, 104.7, 108.8, 117.3, 119.5, 119.9, 122.5, 130.5, 132.3, 137.1, 171.3. MS *m/e* 255 (M⁺, 35), 196 (100), 180 (43), 167 (8).